

BAKER BOTTS LLP



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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/918,001

Filing Date July 30, 2001

First Named Inventor Boelkens

Group Art Unit 2151

Examiner Name Liu, Joshua

Attorney Docket Number A34440

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
PTO 1449 w/copy of references cited

Remarks

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DEC 29 2003

Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Bradley B. Geist
PTO Reg: 27,551

Date

December 17, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date: December 17, 2003

Typed or printed name

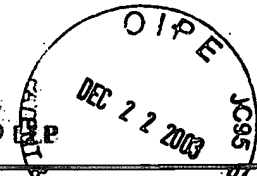
Bradley B. Geist

Signature

Date

December 17, 2003

BAKER BOTTS



FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180)

Compleat if Known

Application Number 09/918,001

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First Named Inventor Boelkens

Examiner Name Liu, Joshua

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Attorney Docket No. A34440

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METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

 Deposit Account Number
 Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee required under 37CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 20 = 0	X	= 0
Multiple Dependent	- 3 = 0	X	= 0

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65			Surcharge - late filing fee or oath	
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130			Non-English specification	
1812 2,520	1812 2,520			For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action	
1251 110	2251 55			Extension for reply within first month	
1252 420	2252 210			Extension for reply within second month	
1253 950	2253 475			Extension for reply within third month	
1254 1,480	2254 740			Extension for reply within fourth month	
1255 2,010	2255 1,005			Extension for reply within fifth month	
1401 330	2401 165			Notice of Appeal	
1402 330	2402 165			Filing a brief in support of an appeal	
1403 290	2403 145			Request for oral hearing	
1451 1,510	1451 1,510			Petition to institute a public use proceeding	
1452 110	2452 55			Petition to revive - unavoidable	
1453 1,300	2453 650			Petition to revive - unintentional	
1501 1,330	2501 665			Utility issue fee (or reissue)	
1502 480	2502 240			Design issue fee	
1503 630	2503 315			Plant issue fee	
1460 130	1460 130			Petitions to the Commissioner	
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180			Submission of Information Disclosure Stmt	180
8021 40	8021 40			Recording each patent assignment per property (times number of properties)	
1809 770	2809 385			Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385			For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385			Request for Continued Examination (RCE)	
1802 900	1802 900			Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180)

SUBMITTED BY

Name (Print/Type)

Bradley B. Geist

Registration No. (Attorney/Agent)

27,551

(Complete if applicable)

Telephone 212-408-2500

Signature

Date

December 17, 2003

BAKER BOTTS LLP

Attorney Docket Number: A34440

Title: OPEN DRIVE REGULATOR, AND A METHOD FOR OBTAINING SOFTWARE FOR AN OPEN
DRIVE REGULATOR



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Use Space Below for Additional Information:



A34440 (071308.0198)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Boelkens et al.

Serial No. : 09/918,001

Filed : July 30, 2001

For : OPEN DRIVE REGULATOR, AND A METHOD FOR
OBTAINING SOFTWARE FOR AN OPEN DRIVE
REGULATOR

Examiner : Liu, Joshua

Group Art Unit : 2151

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States
Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450

12/24/2003 SDIRETA1 00000073 09918001
01 FC:1606
December 17, 2003
Date of Deposit
100.00 DP

Bradley B. Geist
Attorney Name

Signature

27,551
PTO Registration No.

December 17, 2003
Date of Signature

12/24/2003 SDIRETA1 00000073 09918001
01 FC:1606

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

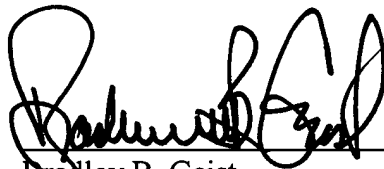
Sir:

Pursuant to the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants
respectfully request that the reference relating to the above-mentioned application listed
herein be made of record in the U.S. Patent and Trademark Office. A copy of this

reference and the PTO Form 1449 listing the attached documents are enclosed. English-language translation of the German document is not readily available

This statement is being filed under §1.97(c), with a fee of \$180, prior to either a final action or a notice of allowance. The Commissioner is hereby authorized to charge the payment of any additional fees required in association with this communication to Deposit Account No. 02-4377. A check for the fee and a duplicate copy of this statement is enclosed.

Respectfully submitted,



Bradley B. Geist
Patent Office Reg. No. 27,551

Attorneys for Applicant
(212) 408-2562

Enclosures